

REMARKS

This paper is being filed as a response to the Final Office Action of February 9, 2009. Reconsideration is respectfully requested in view of these clarifying remarks. This response is filed **within 2 months**, and therefore, the Applicant respectfully requests expedited consideration in accordance with the rules.

Rejections under Section 112, Second Paragraph

Claims 1-4 and 6-8 have been rejected under 35 USC 112, second paragraph. The Office has asserted that requesting the account from a requestor on a client, the requestor being activated through administrator action and capable of bypassing communication to an administrator conflicts with the feature of initializing a program on a file server through administrator action to activate the program, the program being capable of creating an account without further administrator action. Applicant respectfully disagrees.

The Office has read limitations not present in the claims. The feature “without further administrator action” refers to a moment in time. There is no description in the claims that “the requestor being activated through administrator action” has to occur after initializing a program on a file server, thus the claim complies with § 112. Nevertheless, Applicant is willing to amend claim 1 to further clarify the scope of the claim once prosecution is reopened.

Rejections under 35 USC § 103(a)

Claims 9-12, 15 and 17-19 have been rejected under 35 U.S.C. 103(a) as being unpatentable over Falkenhaimer et al. (US Patent No. 5,930,801), in view of Chang et al. (U.S. Patent No. 6,157,953), in view of Levergood et al. (US Patent No. 5,708,780), and further in view of Gold et al. (US Patent Application 2002/0194326). This rejection is respectfully traversed. Applicants respectfully request reconsideration of these rejections in light of the amendments and arguments contained herein.

Independent claims 9, 10 and 15 define checking for an account limitation to determine if a maximum number of accounts created has been reached (emphasis added).

The Office has asserted that this feature is taught by Gold in the following paragraph:

"[0050] Referring to FIG. 3 herein, there is illustrated schematically overall process steps carried out by capacity management application 208 for enforcement of user admission to the computer entity. Every time a new user account is added to the computer entity in process 300, and even where no new user accounts are added, every time a pre-determined maintenance period occurs in process 301, a user capacity limiting algorithm 302 is run. The capacity limit algorithm operates on the basis of a number of "user accounts", which in the case of a network attached storage device are client backup accounts. The capacity limit algorithm prevents too many users being installed on the computer entity which would result in using up all the available licensed data storage capacity. The capacity limit algorithm is run by the computer entity on a regular basis, for example whenever a new user account is stored plus once per day, at the end of a nightly data maintenance job. The capacity limit algorithm determines a predicted capacity growth trend for user accounts currently installed on the computer entity and then calculates how much currently available licensed data storage capacity is used by each currently installed user account, assuming a look ahead growth period from the installation date of each user account. Typically the look ahead period will be set to predict in advance for a pre-determined period, for example two years growth from the installation date of each user account. Where a user account has not been installed long enough to predict a capacity usage trend data, then default values, generated from a sample of typical user accounts, may be used to assign a predicted capacity growth trend to that user account. Each time the capacity limit algorithm is run, it produces as an output a value of predicted growth requirement, in units of megabytes. Depending upon the current number of user accounts assigned to the computer entity, and their predicted

capacity growth requirement in megabytes, the capacity limit algorithm either prohibits entry of new user accounts, or allows entry of new user accounts" (parg. [0050] - emphasis added).

Applicant respectfully disagrees. Gold teaches allowing new user accounts based on the predicted capacity [storage] growth requirements and not based on a maximum number of accounts, as claimed by Applicant. See also step 504 in Fig. 5 of Gold. Predicted storage growth depends on how the different accounts are predicted to use storage. A given system may predict a large use of storage data per user, resulting in less users, while other system may predict a small amount of storage data per user, resulting in more user accounts permitted for a same level of storage. That is, predicted storage use is not the same as a maximum number of users, as different systems will predict different levels of storage use for the same amount of user accounts. Thus, allowing new user accounts based on predicted capacity, as in Gold, does not teach checking for an account limitation to determine if a maximum number of accounts created has been reached, as claimed by Applicant.

Further, in the Response to Arguments of the Office Action dated February 9, 2009, the Office has asserted the following:

"The claimed subject matter does not indicate the explicit parameters in determining the maximum number of accounts. While Gold uses a predicted storage growth in determining a maximum number, a maximum number of accounts is still determined. The claims do not exclude the use of a predicted storage growth in determining the maximum number of accounts" (page 11, last parg.-page 12, first parg.)

Applicant respectfully disagrees. The Office is reminded that to establish a prima facie case of obviousness ... the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art and

not based on applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed.Cir.1991).

The Office has failed to show how the prior art teaches the feature to determine if a maximum number of accounts created has been reached. The Office has taught how the prior art uses a different way of limiting the creation of accounts, that is, using predicted storage growth. Although methods taught by the prior art may also reach a moment where no more accounts are created, the criteria used by the prior art is different because predicted storage growth is not the same as a maximum number of accounts. For example, a maximum number of accounts refers to a concrete and specific number of accounts, while a predicted storage growth refers to a **prediction** on storage growth. Further, storage is not the same as accounts, as one is measured in bytes and the other one in users. Thus, if the prior art teaches a different method of limiting accounts creation, other than determining if a maximum number of accounts, then the prior art does not teach or suggest the claimed feature but a different one. Since the prior art teaches a different feature, then the prior art does not anticipate the claimed feature.

Further, Applicant does not have to exclude the use of predicted storage growth, as the Office suggests, to make the claim allowable. The Office has to show how the prior art teaches Applicants features, not other features that accomplish different results (managing storage) by different means (predicted capacity growth requirement in megabytes). Thus, the prior art does not suggest checking for an account limitation to determine if a maximum number of accounts created has been reached, as claimed by Applicant.

Still yet, claims 10 and 15 have been amended to incorporate subject matter from former claim 16 and define a network attached storage (NAS) device coupled to the connector, the NAS device being capable of storing the account (see this or similar, but not necessarily identical language in Claims 14 and 26 - emphasis added). In the rejection of former claim 16, the Office has asserted that Falkenhaimer teaches this feature in Fig. 1 and the following excerpt:

"When a user account is created, a utility within command utility 18 called a "community registry" creates a new object which includes data describing the user. The registration process includes the user filling out an electronic form, data from which is used in the user object. Such user information can include, for example, the user's legal name, hard-copy and e-mail addresses, website URL, and the names of user groups he wishes to be associated with (this is equivalent of naming "parent" collections for the user, assuming the user groups have handles and objects associated therewith, and may be subject to security considerations). Also, preferably, at this point the new user will be asked to type in a password he will use for future logins to the system. The password will be checked by the command utility 18 at all future times the user logs into the system" (col. 8, line 66 - col. 9, line 13, emphasis added).

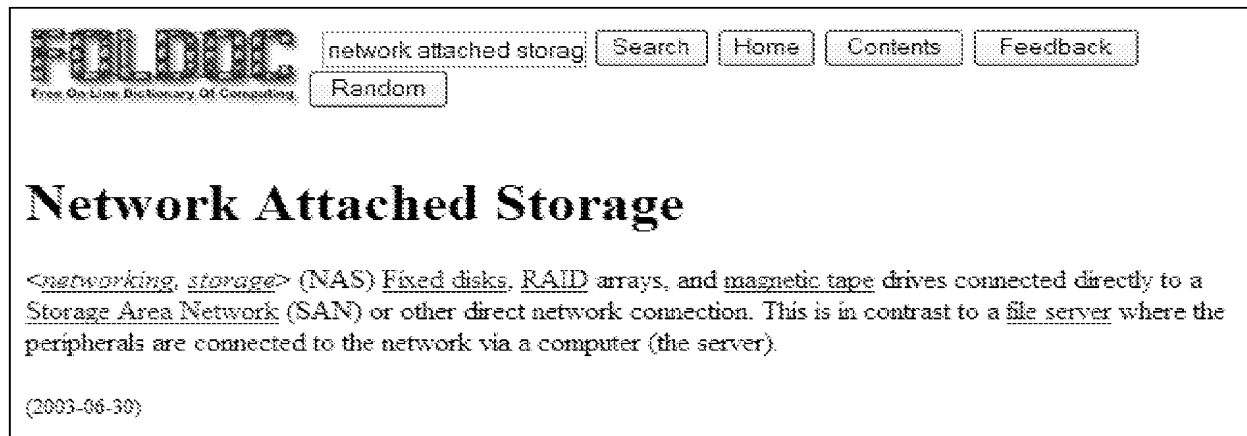
There is no mention in the excerpt reference by the Office that teaches a network attached storage (NAS), much less a NAS device coupled to the connector, the NAS device being capable of storing the account. Further, Figure 1 includes "a file system 12, which may be in the form of, for example, a hard-drive or network server" (col. 2, lines 57-59, emphasis added). A hard drive or network server do not teach a NAS device couple to the connector and being able of storing the user account. Thus, claims 10 and 15 are believed to be patentable for at least these reasons.

Further, in the Response to Arguments of the Office Action dated February 9, 2009, the Office has asserted the following:

"Fig. 1 shows an object database and associated file server 20 and 12. The object database contains the objects that store the user account information and that are linked to the file server (Col. 3

lines 5-20, Col. 8 line 50-Col 9 line 13). The Examiner considers this to be sufficiently within the scope of the claimed NAS device" (last paragraph of page 12 - first paragraph of page 13).

Applicant respectfully disagrees. The file system in Falkenheimer resides inside a computer system. Although the Office may believe that a file system inside a computer is sufficiently within the scope of the claimed NAS device, this is not the interpretation that any person of average skill in the art would make. For example, the on-line dictionary of computing Foldoc teaches the following:



Foldoc describes NAS as devices "connected directly to a Storage Area Network (SAN) or other direct network connection ... in contrast to a file server where the peripherals are connected to the network via a computer" (emphasis added). Thus, a file system inside a computer as taught by Falkenheimer, does not suggest Network Attached Storage, because the file system in Falkenheimer does not teach a file system directly connected to a SAN or other direct network connection.

The dependent claims are submitted to be patentable for at least the same reasons the independent claims are believed to be patentable. The Applicants therefore respectfully

request reconsideration and allowance of the pending claims. A Notice of Allowance is respectfully requested.

If the Examiner has any questions concerning the present amendment, the Examiner is kindly requested to contact the undersigned at (408) 774-6920. If any other fees are due in connection with filing this amendment, the Commissioner is also authorized to charge Deposit Account No. 50-0805 (Order No. ADAPP256).

Respectfully submitted,
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